

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Asst. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

JC003 U.S. PTO



Sir:

08/02/00

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

JC041 U.S. PTO
09/630557
09/02/00

Herewith is the **PATENT APPLICATION** of
Inventor(s): NAKAJIMA, Keiichi

Title **ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT
APPARATUS, AND TERMINAL**

Atty. Dkt.: PM 271790
M#

NI-0005PCTUS
Client Ref

including:

Date: August 2, 2000

1. Specification: 70 pages (only spec. and claims) 2. ☒ Specification in non-English language
3. Declaration ☐ Original ☒ Facsimile/Copy ☒ Abstract 1 page(s); 53 numbered claims
4. ☒ Drawings: 43 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN)

6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☒ Continuation ☐ Substitute Application (MPEP 201.09) of:

6(a) ☐ National Appln. No. / filed (M#)

6(b) ☒ International Appln. No. PCT/JP00/02014 filed March 29, 2000

7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.
Provisional Application No. 60/ , filed --

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☐ Prior application is assigned to

by Assignment recorded Reel Frame

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

11. (country)

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |
| (7) | | (8) | |
| (9) | | (10) | |

12. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)
in U.S. Application No. / filed on

BEST AVAILABLE COPY

13. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|--------------------|-------------|--------------------|-------------|
| (1) PCT/JP99/04178 | 02 AUG 1999 | (4) PCT/JP00/02014 | 29 MAR 2000 |
| (2) | | (5) | |
| (3) | | (6) | |

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.
16. ☐ Attached:
17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|---|----|------------|-----|--------------------|--------|----------|
| 18. Basic Filing Fee | | | | \$690/\$345 | \$690 | 101/201 |
| 19. Total Effective Claims | 53 | minus 20 = | *33 | x \$18/\$9 = | + 594 | 103/203 |
| 20. Independent Claims | 1 | minus 3 = | *0 | x \$78/\$39 = | + 0 | 102/202 |
| *If answer is zero or less, enter "0" | | | | | | |
| 21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application) | | | | + \$260/\$130 | + 0 | 104/204 |
| 22. TOTAL FILING FEE ENCLOSED = | | | | | \$1284 | |
| 23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee | | | | + \$130 | + 130 | 139 |
| 24. If "assignment" box 8 is X'd, add recording fee | | | | + \$40 | + 40 | 581 |
| 25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No. | | | | + \$130 | + 0 | 122 |
| 26. TOTAL FEE ENCLOSED = | | | | | \$1454 | |

Our Deposit Account No. 03-3975

Our Order No. 7874 0271290

C#

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

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GJP/sdm

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments